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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

NAGANO

Attorney Docket No: 108131-00000

Application No. 09/606,211

Art Unit: 3627

Filed: June 29, 2000

Examiner: F. Zeender

For: TRANSACTION MANAGING APPARATUS AND METHOD AND RECORDING  
MEDIUM STORING TRANSACTION MANAGING PROGRAM THEREIN

**PRELIMINARY AMENDMENT WITH RCE FILING**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

December 19, 2003

**RECEIVED**  
DEC 29 2003  
**GROUP 3600**

Sir:

In reply to the Advisory Action dated November 5, 2003 and the Notice of Appeal, which was timely filed on November 28, 2003 (November 27, 2003 being a Federal Holiday), with a three-month extension of time, please amend the above-identified application as follows: